### OKLO INC

## RIPB Methods: Benefits and Challenges

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## About Oklo

Oklo develops clean energy generation sources with advanced fission to mitigate the social and environmental impacts of pollution as well as energy poverty.

- Raised the first-ever modern, venture led series A for a fission company
- Granted a site use permit from Department of Energy for the INL site
- Selected to demonstrate reuse of fuel at INL.
- Became the first advanced fission company in the country to ullethave a license application accepted by the NRC





### Venture Beat



# FYPERALLERGIG

**Goldman Sachs** 

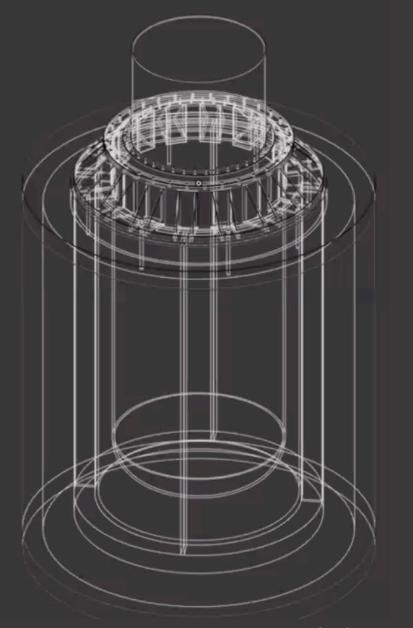
## crunchbase news

### The Aurora



## The Aurora

- 1-2 MWe output depending on use case
- 20 years between refueling
- Advanced fission battery with solar
- Can utilize used material ("waste")





	Current large light water reactors
Power output (MWth)	1600-4400
Refueling cycle (years)	1.5-2
Radionuclide inventory (metric tons)	100-150
System pressure (atm)	150
Hydrogen explosion risk	Yes
Cooling	Loop with low thermal inertia
Electric power dependence	Relies on offsite power or emergency diesel generation
Negative reactivity coefficient	Yes

Aurora < 5 None < 5 Near atmospheric No Passive heat pipes No safety-related electric power dependence

### Yes

### LMP and the Aurora DG-1353 pilot in 2018



## DG-1353 pilot

Oklo applied DG-1353 (now RG 1.233) to the Aurora in 2018 to propose a RIPB application

### Piloted a COLA with DG-1353 process

Selection of licensing basis events Classification of components

Determination of defense-in-depth adequacy





## Unique characteristics

- The process is risk-informed, not risk-based. Deterministic analysis is a major portion, which is then plotted on a frequency consequence curve.
- The process is not intended to handle passive let alone inherent features. Arbitrary "failing" of physical aspects presents unrealistic results.
- The defense-in-depth portion considers only frequency, not consequence.



### Analyzing deterministic failures

### Categorical failure of SSCs is deterministic

No associated failure probability No longer in risk space

Inappropriate to evaluate deterministic sensitivity analysis against risk measures

Must evaluate against deterministic criteria





## Failure of barriers

### Passive or inherent characteristics do not disappear

Challenge in modeling Aphysical

Appropriate to analyze barriers in levels of degradation



### Zero consequence events

Focus in the defense-in-depth portion is based on frequency changes, regardless of consequence.

### Risk is composed of frequency and consequence

Low and zero consequence events either nullify or substantively reduce total risk

Categorical classification of components based on frequency is illogical



## DG-1353 pilot results

No safety functions or safety-related SSCs

### Many NSRST SSCs

- Due to increase of frequency issue
- Still zero consequence
- Unclear regulatory burden •

Anticipated challenges for all to implement process, for these and other reasons (bringing beyond design basis space into design basis space, extending frequencies needed to be considered by an order of magnitude)



## Risk Triplet – revised!

Instead of "What can go wrong?" then "How likely is it?" then "What are the consequences?"...

...Establishing "What can go wrong" then "what are the consequences" **before** the rabbit hole of establishing frequency for FOAK - can be critically important for efficiency in evaluating advanced fission with inherent safety and many events which do not result in consequence.

 In other words "are there any consequences?" is a key question for advanced designs.

This enables evaluating credible events with possible consequences found deterministically against **performance-based** metrics of dose in the regulation. Risk can also be assessed for credible events with consequences, as shown in the Aurora PRA.



### COLA Approach Submitted March 2020, Accepted June 2020



## COLA Approach

- Pursuing custom COL
- There are many things we are doing differently with the NRC to change the paradigm:
  - Quality Assurance (QA) novel QAPD approved by NRC
  - Continuity of staff involved in pre-application into our application (core team)
  - Project management via Licensing Project Plan (LPP)
  - Simple, safe design means few "safety-related" parts/issues



## The Challenge

- Meeting regulations while the design meets regulations "inherently"
- Instead of binary "dumb tags" of SSCs as "safety-related" or not, really assessing what key assumptions or analysis parameters must hold, and how they will be tracked and assured



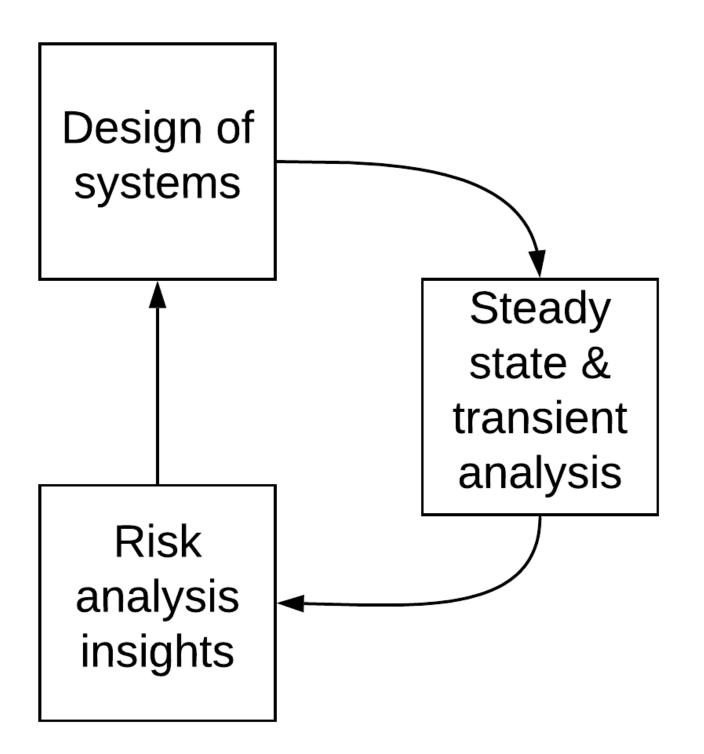
### Design and analysis methodology

### Iterative and systematic process

- Systems are designed to safety goals
- Performance is analyzed under many different event types to find the Maximum Credible Accident (MCA), including:
  - Historical event types for non-LWRs
  - Event categories in NUREG 0800
  - External hazards
- PRA is used for defense-in-depth to the determination
  of the MCA

### Key parameters are called design bases

Subsequent slides explain the use of design bases, design commitments, and programmatic controls to ensure as-analyzed performance



### Design bases, design commitments, and programmatic controls

Design Bases The characteristics of a system that ensure the safe operation of the reactor

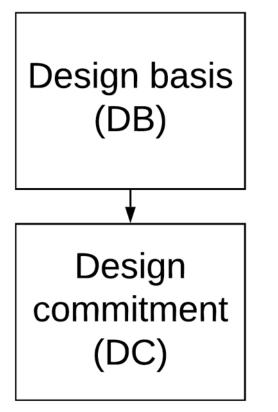
Design Commitments The specific commitments made to ensure that a design basis is met

Programmatic Controls Administrative controls used to ensure that the design commitments are met

### Design basis (DB)

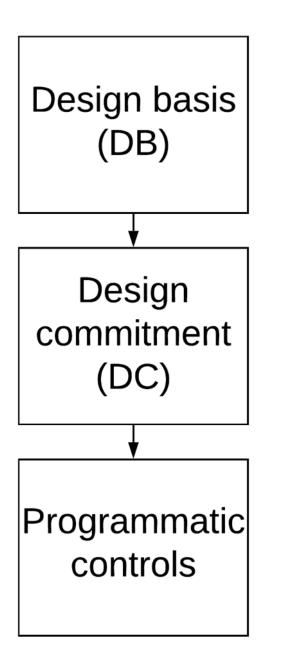
Design bases: The characteristics of a system that ensure the safe operation of the reactor.





Design commitments: The specific commitments made to ensure that a design basis is met.



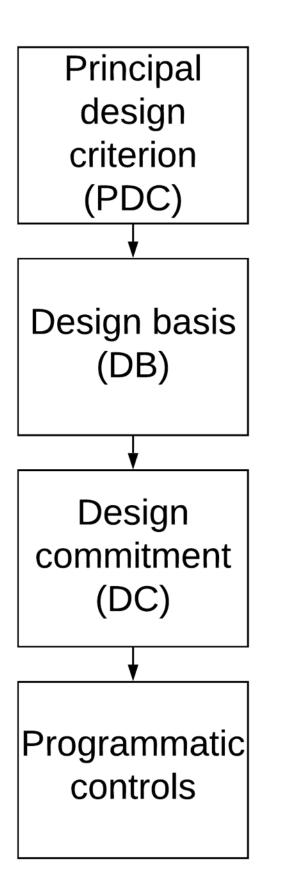


### Programmatic controls:

Administrative controls used to ensure that the design commitments are met. These are the tools that the regulator uses to ensure as-built, asoperated performance and include:

- Quality Assurance Program (QAP)
- Preoperational tests (POTs)
- Startup tests (SUTs)
- Inspections, test, and analysis acceptance criteria (ITAAC)
- Technical Specifications (TS)





## Summary

### FSAR describes

- Each system and provides the DBs and DCs  $\bullet$
- Assumptions and key parameters in analysis and confirms the ulletsufficiency of the DBs and DCs in ensuring safety
- Includes PRA as required by regulation, which was used for defense-inulletdepth

The design process is iterative with insight from risk and PDC allow for a functional derivation of DBs as opposed to systematic

Ultimately, the Aurora-INL COLA is primarily performance based to meet the regulations, and utilizes a primarily deterministic event analysis method, which incorporates risk insight.



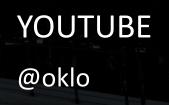
## Thank you



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