### CONTENTS

#### PREFACE

xiii

| Chapter 1 | Review | of the | Nuclear | Fuel | Cycle |
|-----------|--------|--------|---------|------|-------|
|-----------|--------|--------|---------|------|-------|

| 1.1              | General Comments                | 1  |
|------------------|---------------------------------|----|
| 1.2              | Nuclear Fission Reactor Types   | 3  |
| 1.3              | Generations of Fission Reactors | 3  |
| 1.4              | GEN-II Reactors                 | 6  |
| 1.5              | GEN-III Reactors                | 10 |
| 1.6              | Small Modular Reactors (SMRs)   | 16 |
| 1.7              | Nuclear Fuel Activities         | 19 |
| 1.8              | Nuclear Fuel Cycles             | 22 |
| 1.9              | Glossary of Nuclear Fuel Terms  | 24 |
| Bibl             | iography                        | 26 |
| General Websites |                                 | 26 |

#### Chapter 2 Nuclear Fuel Resources, Mining, and Milling

| 2.1 | Introdu                          | uction                       | 28 |
|-----|----------------------------------|------------------------------|----|
| 2.2 | 2 Uranium Exploration Techniques |                              | 29 |
|     | 2.2.1                            | Geological Studies           | 29 |
|     | 2.2.2                            | Airborne Surveys             | 30 |
|     | 2.2.3                            | Surface Surveys              | 32 |
|     | 2.2.4                            | Hydrochemical Methods        | 33 |
|     | 2.2.5                            | Well Logging                 | 34 |
|     | 2.2.6                            | Botanical Methods            | 35 |
| 2.3 | Princij                          | pal Uranium-Bearing Minerals | 35 |
| 2.4 | Uraniu                           | um Mining Methods            | 35 |
|     | 2.4.1                            | Open-Pit Mining              | 36 |
|     | 2.4.2                            | Underground Mining           | 37 |
|     |                                  | v                            |    |

|        | 2.4.3 In Situ Leaching (Solution Mining)              | 37 |
|--------|---|----|
|        | 2.4.4 Recovery of Uranium as By-Product from Mining   |    |
|        | Other Materials                                       | 38 |
|        | 2.4.5 Recovery of Uranium from Seawater               | 39 |
| 2.5    | Uranium Exploration and Mine Activities               | 40 |
| 2.6    | The Milling of Uranium Ore                            | 42 |
| 2.7    | The Mill Tailings                                     | 42 |
| 2.8    | Uranium Reserves and Resources                        | 47 |
| 2.9    | Uranium Production                                    | 49 |
| 2.10   | Economic Considerations of Uranium Production Methods | 50 |
| 2.11   | Thorium Minerals and Estimated Reserves               | 51 |
| Biblic | ography   | 54 |
| Refere | ences   | 54 |
| Proble | ems   | 55 |

#### Chapter 3 Conversion and Enrichment

| 3.1    | Introduction  | 57 |
|--------|---|----|
| 3.2    | Purification of U <sub>3</sub> O <sub>8</sub>           | 58 |
| 3.3    | Conversion of $U_3O_8$ to $UF_6$                        | 58 |
| 3.4    | Enrichment of Uranium by Gaseous Diffusion              | 62 |
| 3.5    | Quantitative Aspects of Enrichment by Gaseous Diffusion | 65 |
| 3.6    | The Management of Uranium Enrichment Tails              | 70 |
| 3.7    | The United States Enrichment Corporation (USEC)         | 71 |
| 3.8    | The Use of Weapons Uranium for Civilian Nuclear Fuel    | 71 |
| 3.9    | Enrichment of Uranium by the Centrifuge Method          | 72 |
| 3.10   | Enrichment of Uranium by the Separation Nozzle Method   | 75 |
| 3.11   | Enrichment of Uranium Using Lasers                      | 76 |
| 3.12   | Enrichment Prices and Contracts                         | 79 |
| 3.13   | World Enrichment Capacity                               | 81 |
| Biblic | ography   | 82 |
| Gener  | al Websites   | 82 |
| Refer  | ences   | 82 |
| Proble | ems   | 83 |

#### Chapter 4 Fuel Design and Fabrication

| 4.1 | Introduction |  | 86 |
|-----|--------------|--|----|
| 4.2 | Reacto       | or Fuel and Cladding Materials         | 88 |
|     | 4.2.1        | Uranium Metal                          | 88 |
|     | 4.2.2        | Ceramic Fuels                          | 88 |
|     | 4.2.3        | Fuel Cladding                          | 91 |
|     | 4.2.4        | Burnable Absorbers or Burnable Poisons | 93 |
| 4.3 | Fuel H       | Element Fabrication                    | 95 |
|     | 4.3.1        | Fuel Pellet Production                 | 95 |

|       | 4.3.2   | Fuel Rod Loading                                    | 98  |
|-------|---------|---|-----|
|       | 4.3.3   | Fuel Assembly for a PWR                             | 99  |
|       | 4.3.4   | Fuel Assembly for a BWR                             | 102 |
|       | 4.3.5   | Fuel Assembly Identification Scheme                 | 102 |
| 4.4   | LWR F   | Fuel Problems and Solutions                         | 104 |
|       | 4.4.1   | Nuclear Fuel Problems                               | 104 |
|       | 4.4.2   | Barrier Fuel  | 108 |
|       | 4.4.3   | VANTAGE-5 Fuel                                      | 109 |
| 4.5   | Examir  | nation of Irradiated Fuel for Defects: Fuel Sipping | 109 |
| 4.6   | Axial a | and Radial Blankets                                 | 110 |
| 4.7   | Other 7 | Types of Power Reactor Fuels                        | 111 |
|       | 4.7.1   | The Gas-Cooled GT-MHR and Pebble Bed Reactor Fuel   | 111 |
|       | 4.7.2   | The MOX Fuel  | 113 |
|       | 4.7.3   | Future Fuel Designs                                 | 114 |
| 4.8   | Fuel Fa | abrication Cost                                     | 115 |
| 4.9   | Materia | als Concerns Relative to Plant Life Extension       | 116 |
| Bibli | ography |   | 116 |
| Refe  | rences  |   | 117 |
| Prob  | lems    |   | 119 |

#### Chapter 5 Reactor Physics Calculations

| 5.1 | Introdu | action   | 120 |
|-----|---------|--|-----|
| 5.2 | The N   | eutron Transport Equation                              | 122 |
|     | 5.2.1   | General Comments and Derivation of the Transport       |     |
|     |         | Equation   | 122 |
|     | 5.2.2   | The Diffusion Approximation                            | 125 |
|     | 5.2.3   | The Multigroup Diffusion Approximation Formalism       | 126 |
|     | 5.2.4   | Criticality Calculation Using the Multigroup Equations | 131 |
|     | 5.2.5   | One- and Two-Group Diffusion Equation Calculations     | 134 |
|     | 5.2.6   | Numerical Solution of the Multigroup Equations         | 137 |
|     | 5.2.7   | The Transport Approximations $P_N$ and $S_N$           | 140 |
|     | 5.2.8   | Nodal Methods  | 143 |
|     | 5.2.9   | The Monte Carlo Method                                 | 145 |
| 5.3 | Nuclea  | ar Cross-Section Data                                  | 150 |
|     | 5.3.1   | The ENDF/B Library                                     | 150 |
|     | 5.3.2   | Information Provided by ENDF/B                         | 151 |
|     | 5.3.3   | Calculation of the Neutron Energy Spectrum $\phi(E)$   | 152 |
|     | 5.3.4   | Cross-Section Libraries for Multigroup Calculations    | 156 |
| 5.4 | Funda   | mentals of Core Reload Calculations                    | 158 |
|     | 5.4.1   | Objectives of Reload Calculations                      | 158 |
|     | 5.4.2   | Calculation of the Average Power per Assembly          | 160 |
| 5.5 | Fuel D  | Depletion Calculations                                 | 163 |
| 5.6 | Burnal  | ble Poison Calculations—Chemical Shim                  | 167 |
|     |         |  |     |

| 5.7 Control Rod Calculations | 172 |
|------------------------------|-----|
| Bibliography                 | 178 |
| References                   | 179 |
| Problems                     |     |
|                              |     |

#### Chapter 6 In-Core Fuel Management

| 6.1        | Gener   | al Comments                                   | 185 |
|------------|---------|---|-----|
| 6.2        | Multit  | batch Core Loading                            | 188 |
|            | 6.2.1   | Burnup, Capacity, and Availability Factors    | 189 |
|            | 6.2.2   |   | 190 |
|            | 6.2.3   | One- and Two-Batch Cores                      | 192 |
|            | 6.2.4   | The N-Batch Core                              | 195 |
| 6.3        | Fuel I  | Loading Patterns                              | 196 |
|            | 6.3.1   | OUT-IN Loading                                | 199 |
|            | 6.3.2   | Scatter Loading                               | 200 |
|            | 6.3.3   | Low-Leakage Core Loading                      | 201 |
|            | 6.3.4   | Gas-Cooled Reactor Fuel Loading               | 205 |
| 6.4        | Extend  | ded Burnup and Longer Fuel Cycle Length       | 207 |
|            | 6.4.1   | Effect of Extended Burnup on Fuel Performance | 207 |
|            | 6.4.2   | Effect of Extended Burnup on Uranium and      |     |
|            |         | Enrichment Needs                              | 208 |
|            | 6.4.3   | Other Effects Due to Higher Burnup            | 208 |
|            | 6.4.4   | Effects Due to Fuel Cycle Length              | 208 |
|            | 6.4.5   | The Effect of NWPA on Extended Burnup         | 211 |
|            | 6.4.6   | Fuel Cycle Stretchout (Coastdown)             | 213 |
| 6.5        | Burnu   | p Measurement Techniques                      | 214 |
| 6.6        | Refue   | ling Activities                               | 215 |
|            | 6.6.1   | Planning for a Refueling Outage               | 216 |
|            | 6.6.2   | Reload Fuel Procurement                       | 218 |
|            | 6.6.3   | Reload Fuel Design Activities                 | 219 |
|            | 6.6.4   | Licensing the Reload Fuel                     | 220 |
|            | 6.6.5   | Refueling Outage Tasks                        | 221 |
|            | 6.6.6   | Maintenance Activities                        | 223 |
| 6. 7       | Radiat  | tion Exposure to Personnel                    | 224 |
| Bibl       | iograph | y   | 226 |
| References |         |   | 226 |
| Prob       | lems    |   | 230 |

#### Chapter 7 Reprocessing and Recycling

| 7.1 | What Is Reprocessing and Recycling?      | 232 |
|-----|--|-----|
| 7.2 | An Historical Perspective                | 232 |
| 7.3 | Why Consider Reprocessing and Recycling? | 235 |

| 7.4    | Repro  | cessing   | 238 |
|--------|--------|---|-----|
|        | 7.4.1  | General Comments                                  | 238 |
|        | 7.4.2  | The PUREX Method of Reprocessing                  | 239 |
|        | 7.4.3  | The Pyrometallurgical Method of Reprocessing      | 240 |
|        | 7.4.4  | Proliferation-Resistant Processes                 | 240 |
|        | 7.4.5  | Materials Accountability of Reprocessing Plants   | 241 |
|        | 7.4.6  | Reprocessing Experience in the United States      | 242 |
|        | 7.4.7  | Reprocessing Experience Outside the United States | 243 |
| 7.5    | Pluton | ium and Uranium Recycling in LWRs                 | 244 |
|        | 7.5.1  | Materials Flow with Recycling                     | 244 |
|        | 7.5.2  | Natural Uranium Savings Due to U and Pu Recycling | 245 |
|        | 7.5.3  | SWU Savings Due to U and Pu Recycling             | 249 |
| 7.6    | Charac | cteristics of a Reactor Core with MOX Fuel        | 250 |
| 7.7    | Proble | ms with Recycled Uranium                          | 253 |
| 7.8    | Proble | ms with Recycled Plutonium                        | 254 |
| 7.9    | Experi | ence with MOX Fuel Used in LWRs                   | 256 |
| 7.10   | Recyc  | ling/Burning of Minor Actinides                   | 258 |
| 7.11   | Factor | s Affecting Implementation of Reprocessing and    |     |
|        | Recyc  | ling  | 259 |
| Biblio | graphy |   | 261 |
| Refere | ences  |   | 261 |
| Proble | ems    |   | 264 |

#### Chapter 8 Electric Utility and Nuclear Power Economics

| 8.1 | Genera   | al Comments and Definitions                          | 266 |
|-----|--|--|-----|
|     | 8.1.1  | Capital and Business Activities                      | 266 |
|     | 8.1.2  | The Engineer's Role in Business                      | 266 |
|     | 8.1.3  | Financial Terms                                      | 267 |
|     | 8.1.4  | Business Taxes                                       | 268 |
|     | 8.1.5  | Depreciation   | 269 |
|     | 8.1.6  | The Time Value or the Present Worth of Money         | 271 |
| 8.2 | The E  | lectric Utility as a Business                        | 275 |
|     | 8.2.1  | Main Financial Characteristics of Utilities          | 275 |
|     | 8.2.2  | The Cash Flow Statement                              | 276 |
|     | 8.2.3  | The Electric Utility Regulatory System               | 277 |
|     | 8.2.4  | The Effects of Load Demand Variation                 | 279 |
| 8.3 | The S  | pecial Features of Nuclear Fuel                      | 280 |
|     | 8.3.1  | Nuclear and Fossil Fuels                             | 280 |
|     | 8.3.2  | The Investment in Nuclear Fuel as a Function of Time | 281 |
|     | 8.3.3  | Leasing or Buying Nuclear Fuel                       | 281 |
| 8.4 | Cost Components for Nuclear Electricity Generation |  | 282 |
|     | 8.4.1  | Plant Construction                                   | 282 |
|     | 8.4.2  | Operation and Maintenance                            | 283 |

|                  | 8.4.3        | Fuel  | 283 |
|------------------|--------------|---|-----|
|                  | 8.4.4        | Taxes   | 285 |
|                  | 8.4.5        | Regulations   | 285 |
| 8.5              | Calcula      | ation of the Cost of Electricity                          | 285 |
|                  | 8.5.1        | An Approximate Calculation                                | 285 |
|                  | 8.5.2        | The Levelized Cost of Electricity                         | 286 |
|                  | 8.5.3        | Comments on the Equation for the Levelized Cost           | 291 |
|                  | 8.5.4        | The Levelized Cost over a Period of Time                  | 295 |
| 8.6              | Compa        | rison of Electricity Generation Costs from Various Plants | 296 |
| Bibli            | Bibliography |   |     |
| General Websites |              |   | 298 |
| References       |              |   | 298 |
| Probl            | Problems     |   |     |
|                  |              |   |     |

#### Chapter 9 High-Level Waste Management

| 9.1 | Genera                               | al Comments   | 302 |
|-----|--------------------------------------|---|-----|
| 9.2 | Classification of Radioactive Wastes |   |     |
| 9.3 | Source                               | es and Examples of Radioactive Wastes               | 307 |
| 9.4 |                                      | Management Policy Acts                              | 307 |
|     | 9.4.1                                | The Nuclear Waste Policy Act (NWPA) of 1982 and Its |     |
|     |                                      | 1987 Amendments                                     | 307 |
|     | 9.4.2                                | The Blue Ribbon Commission (BRC) of 2010            | 312 |
|     | 9.4.3                                | European Policy                                     | 314 |
|     | 9.4.4                                | Russian Policy                                      | 315 |
|     | 9.4.5                                | Other Countries' Policies                           | 315 |
| 9.5 | Used 1                               | Nuclear Fuel Management                             | 316 |
|     |                                      | UNF Storage   | 316 |
|     | 9.5.2                                | Burnup Credit                                       | 323 |
|     | 9.5.3                                | Radioactivity from UNF                              | 323 |
|     | 9.5.4                                | Decay Heat Generated by UNF                         | 326 |
|     | 9.5.5                                | UNF Management Outside the United States            | 334 |
| 9.6 | Dispos                               | sal of HLW and TRU Wastes                           | 335 |
|     | 9.6.1                                | Characteristics of HLW                              | 335 |
|     | 9.6.2                                | Characteristics of TRU                              | 337 |
|     | 9.6.3                                | Solidification of Liquid HLW                        | 340 |
|     | 9.6.4                                | Disposal Methods                                    | 345 |
|     | 9.6.5                                | The Form of the Waste for Disposal: Transmutation   | 347 |
|     | 9.6.6                                | Geologic Repository Features                        | 348 |
|     | 9.6.7                                | The Waste Isolation Pilot Plant                     | 352 |
|     | 9.6.8                                | HLW Disposal Programs Outside the United States     | 353 |
| 9.7 | Transp                               | portation of Radioactive Materials                  | 355 |
|     | 9.7.1                                | Transportation Regulations                          | 355 |
|     | 9.7.2                                | Definitions and Classifications                     | 356 |

| 9.7.3 Shipper–Recipient Responsibilities          | 361 |
|---|-----|
| 9.7.4 Testing of Shipping Casks for UNF           | 361 |
| 9.8 Emergency Preparedness: Response to Accidents | 364 |
| Bibliography                                      | 365 |
| General Websites                                  | 366 |
| References  | 366 |
| Problems  | 372 |

# Chapter 10 LLW Management and Decommissioning of Nuclear Facilities

| 10.1     | Source   | s of LLW   | 374 |
|----------|--|--|-----|
| 10.2     | The LLW Policy Act of 1980 and Its 1985 Amendments |  |     |
|          | 10.2.1   | The LLW Compacts                                     | 377 |
| 10.3     | Disposal of LLW                                    |  | 379 |
|          | 10.3.1   | Characteristics of LLW                               | 379 |
|          | 10.3.2   | Classification of LLW into A, B, C, and GTCC Classes | 379 |
|          | 10.3.3   | Disposal Requirements for Class A, B, C, and GTCC    |     |
|          |  | Wastes   | 383 |
|          | 10.3.4   | Volume Reduction Methods                             | 384 |
|          | 10.3.5   | Solidification of LLW                                | 384 |
|          | 10.3.6   | LLW Disposal Sites                                   | 385 |
|          | 10.3.7   | LLW Disposal Programs Outside the United States      | 386 |
| 10.4     | Decom  | missioning of Nuclear Facilities                     | 388 |
|          | 10.4.1   | What Is Decommissioning?                             | 388 |
|          | 10.4.2   | Decommissioning Methods                              | 390 |
|          | 10.4.3   | Radioactive Materials Involved in Decommissioning    | 391 |
|          | 10.4.4   | Decontamination Methods                              | 392 |
|          | 10.4.5   | Financing and Cost of Decommissioning                | 393 |
|          | 10.4.6   | Decommissioning Experience                           | 395 |
| 10.5     | Transp   | ortation of LLW                                      | 397 |
| Biblio   | graphy   |  | 399 |
| Refere   | nces   |  | 399 |
| Problems |  |  | 401 |

#### Chapter 11 Nuclear Nonproliferation and Safeguards

| 11.1 | What Is Nuclear Nonproliferation and Safeguards?   | 402 |
|------|--|-----|
| 11.2 | The Role of the International Atomic Energy Agency | 403 |
| 11.3 | The Non-Proliferation Treaty                       | 404 |
|      | 11.3.1 Information Circulars                       | 407 |
| 11.4 | Safeguards   | 408 |
|      | 11.4.1 Compliance with Safeguards                  | 408 |
|      | 11.4.2 Noncompliance with Safeguards               | 410 |
| 11.5 | Strategic Nuclear Materials—IAEA Definitions       | 411 |

| Nuclear Fuel Cycles and Proliferation            | 412   |
|--|---|
| Detection of Nuclear Materials                   | 413   |
| 11.7.1 Passive Detection Methods                 | 413   |
| 11.7.2 Active Detection Methods                  | 414   |
| Proliferation Resistance and Physical Protection | 415   |
| Concluding Remarks                               | 417   |
| Bibliography                                     |   |
| References                                       |   |
|  | Detection of Nuclear Materials<br>11.7.1 Passive Detection Methods<br>11.7.2 Active Detection Methods<br>Proliferation Resistance and Physical Protection<br>Concluding Remarks<br>graphy |

## Chapter 12 Environmental Effects from the Generation of Electricity

| 12.1       | Introduction  | 420 |
|------------|---|-----|
| 12.2       | The Various Types of Electricity Generating Systems and |     |
|            | Their Environmental Effects                             | 422 |
| 12.3       | External Costs of Energy Production                     | 423 |
| 12.4       | Fossil-Fueled Plants                                    | 423 |
|            | 12.4.1 The Greenhouse Effect and Climate Change         | 425 |
|            | 12.4.2 Acid Rain  | 429 |
| 12.5       | Hydroelectric Power Plants                              | 430 |
| 12.6       | Geothermal Power Plants                                 | 432 |
| 12.7       | Solar Power Plants                                      | 433 |
| 12.8       | Wind Power Plants (Wind Farms)                          | 434 |
| 12.9       | Less Developed Electric Power Plants                    | 435 |
| 12.10      | Nuclear Power Plants and Their Environmental Effects    | 437 |
| 12.11      | Comparison of the Various Energy Systems                | 443 |
| 12.12      | Summary and Conclusions                                 | 445 |
| Bibliog    | raphy   | 450 |
| References |   | 451 |
| INDEX      |   | 453 |
|            |   |     |

| ABOUT THE AUTHOR | 463 |
|------------------|-----|
|------------------|-----|