

# Non-Real-Time, High-Integrity Software for the Nuclear Industry—User Requirements

ANSI/ANS-10.8-2015



An American National Standard

Published by the American Nuclear Society 555 N. Kensington Ave La Grange Park, IL 60526

ANSI/ANS-10.8-2015

American National Standard Non-Real-Time, High-Integrity Software for the Nuclear Industry—User Requirements

Secretariat American Nuclear Society

Prepared by the American Nuclear Society Standards Committee Working Group ANS-10.8

Published by the American Nuclear Society 555 North Kensington Avenue La Grange Park, Illinois 60526 USA

Approved November 19, 2015 by the American National Standards Institute, Inc.

#### American National Standard

Designation of this document as an American National Standard attests that the principles of openness and due process have been followed in the approval procedure and that a consensus of those directly and materially affected by the standard has been achieved.

This standard was developed under the procedures of the Standards Committee of the American Nuclear Society; these procedures are accredited by the American National Standards Institute, Inc., as meeting the criteria for American National Standards. The consensus committee that approved the standard was balanced to ensure that competent, concerned, and varied interests have had an opportunity to participate.

An American National Standard is intended to aid industry, consumers, governmental agencies, and general interest groups. Its use is entirely voluntary. The existence of an American National Standard, in and of itself, does not preclude anyone from manufacturing, marketing, purchasing, or using products, processes, or procedures not conforming to the standard.

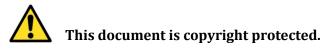
By publication of this standard, the American Nuclear Society does not insure anyone utilizing the standard against liability allegedly arising from or after its use. The content of this standard reflects acceptable practice at the time of its approval and publication. Changes, if any, occurring through developments in the state of the art, may be considered at the time that the standard is subjected to periodic review. It may be reaffirmed, revised, or withdrawn at any time in accordance with established procedures. Users of this standard are cautioned to determine the validity of copies in their possession and to establish that they are of the latest issue.

The American Nuclear Society accepts no responsibility for interpretations of this standard made by any individual or by any ad hoc group of individuals. Inquiries about requirements, recommendations, and/or permissive statements (i.e., "shall," "should," and "may," respectively) should be sent to the Scientific Publications and Standards Department at Society Headquarters. Action will be taken to provide appropriate response in accordance with established procedures that ensure consensus.

Comments on this standard are encouraged and should be sent to Society Headquarters.

Published by

American Nuclear Society 555 North Kensington Avenue La Grange Park, Illinois 60526 USA



Copyright © 2015 by American Nuclear Society. All rights reserved.

Any part of this standard may be quoted. Credit lines, should read "Extracted from American National Standard ANSI/ANS-10.8-2015 with permission of the publisher, the American Nuclear Society." Reproduction prohibited under copyright convention unless written permission is granted by the American Nuclear Society.

Printed in the United States of America

**Inquiry Requests** The American Nuclear Society (ANS) Standards Committee will provide responses to inquiries about requirements, recommendations, and/or permissive statements (i.e., "shall," "should," and "may," respectively) in American National Standards that are developed and approved by ANS. Responses to inquiries will be provided according to the Policy Manual for the ANS Standards Committee. Nonrelevant inquiries or those concerning unrelated subjects will be returned with appropriate explanation. ANS does not develop case interpretations of requirements in a standard that are applicable to a specific design, operation, facility, or other unique situation only, and therefore, is not intended for generic application.

Responses to inquiries on standards are published in ANS's magazine, *Nuclear News*, and are available publicly on the ANS Web site or by contacting the ANS Scientific Publications and Standards Department.

Inquiry Format

Inquiry requests shall include the following:

- (1) the name, company name if applicable, mailing address, and telephone number of the inquirer;
- (2) reference to the applicable standard edition, section, paragraph, figure, and/or table;
- (3) the purpose(s) of the inquiry;
- (4) the inquiry stated in a clear, concise manner;
- (5) a proposed reply, if the inquirer is in a position to offer one.

Inquiries should be addressed to

American Nuclear Society ATTN: Scientific Publications and Standards Department 555 N. Kensington Avenue La Grange Park, IL 60526

or standards@ans.org

**Foreword** (This foreword is not a part of American National Standard "Non-Real-Time, High-Integrity Software for the Nuclear Industry—User Requirements," ANSI/ANS-10.8-2015.)

The purpose of this standard is to provide quality assurance requirements for the users of non-real-time, high-integrity software developed for nuclear industry applications. This standard is intended to complement ANSI/ANS-10.7-2013, "Non-Real-Time, High-Integrity Software for the Nuclear Industry—Developer Requirements," which provides requirements for the development of such software. The standard does not recommend a specific approach to software deployment and use but does recommend that for a specific project, the project sponsor should determine the level of effort to be applied. Compliance with this standard does not automatically guarantee compliance with any other standard.

The standard complements the following ANS-10 standards related to computer program development. *Any references in this document to the standards listed below refer to the version given here:* 

ANSI/ANS-10.2-2000 (R2009), "Portability of Scientific and Engineering Software"; ANSI/ANS-10.3-1995 (withdrawn), "Documentation of Computer Software";

ANSI/ANS-10.4-2008, "Verification and Validation of Non-Safety-Related Scientific and Engineering Computer Programs for the Nuclear Industry";

ANSI/ANS-10.5-2006 (R2011), "Accommodating User Needs in Scientific Engineering Computer Software Development";

ANSI/ANS-10.7-2013, "Non-Real-Time, High-Integrity Software for the Nuclear Industry—Developer Requirements."

This standard builds upon NUREG/CR-6263, "High-Integrity Software for Nuclear Power Plants: Candidate Guidelines, Technical Basis and Research Needs," which was prepared for the U.S. Nuclear Regulatory Commission to assist with development of a technical basis for regulatory positions related to the use of high-integrity software in nuclear power plants. NUREG/CR 6263 and NUREG/CR-5930, "High Integrity Software Standards and Guidelines," were developed for application to nuclear power plants, and this standard is primarily applicable to nuclear power plants and other nuclear facilities and operations with similar high consequences and hazards.

NUREG/CR-6263 was the result of a comprehensive review of the state of software engineering processes and design attributes. While the focus of that effort was on real-time, high-integrity software, the standard ANSI/ANS-10.7-2013 and this standard focus on non-real-time, high-integrity software, such as for design and analysis. Therefore, the requirements of NUREG/CR-6263 were carefully adapted, and new requirements were developed and added for model development and validation, which are a key aspect of analytical, non-real-time software.

In addition to calculation correctness, cybersecurity is an important aspect of highintegrity software and is addressed in this standard by the identification of activities that affect cybersecurity. The requirements in this standard for cybersecurity were derived from U.S. Nuclear Regulatory Commission Regulatory Guide 1.152, "Criteria for Use of Computers in Safety Systems of Nuclear Power Plants." The regulatory guide ensures satisfaction of the requirements outlined in 10 CFR 50, 50.55a (h) and Appendix A [Title 10, "Energy," Part 50, "Domestic Licensing of Production and Utilization Facilities, Sec. 50.55a, "Codes and Standards," (h), "Protection and Safety Systems," Appendix A], and 10 CFR 73.54 [Title 10, "Energy," Part 73, "Physical Protection of Plants and Materials, Sec. 54, "Protection of Digital Computer and Communication Systems and Networks"]. Additional discussions of cybersecurity requirements may be found in NEI 08-9, "Cyber Security Plan for Nuclear Power Reactors"; NEI 10-04, "Identifying Systems and Assets Subject to the Cyber Security Rule"; and NRC Regulatory Guide 5.71, "Cyber Security Programs for Nuclear Facilities."

An effort has been made to maintain consistency in terminology and concepts with various software standards being developed under the sponsorship of the Institute of Electrical and Electronics Engineers, Inc., and the American Society of Mechanical Engineers.

This standard might reference documents and other standards that have been superseded or withdrawn at the time the standard is applied. A statement has been included in the references section that provides guidance on the use of references.

This standard does not incorporate the concepts of generating risk-informed insights, performance-based requirements, or a graded approach to quality assurance. The user is advised that one or more of these techniques could enhance the application of this standard. For example, the software requirements of ANSI/ANS-10.7-2013 and this standard, which are applicable for high-consequence applications, could be appropriately tailored or graded for applications of lower consequence.

This standard has been written by Working Group ANS-10.8 of the American Nuclear Society's Standards Committee. The membership of this group during the preparation of the final drafts consisted of the following:

K. A. Morrell (Chair), Savannah River Nuclear Solutions

M. Baird, Radiation Safety Information Computational Center
B. R. Frank, Westinghouse Electric Company
P. Hulse, Sellafield Ltd.
C. R. Martin, National Security Technologies, LLC
V. Penkrot, Westinghouse Electric Company
S. Sen, U.S. Department of Energy
S. Seth, Individual
J. Shultz, U.S. Department of Energy
A. Smetana, Savannah River National Laboratory

Subcommittee ANS-10, Mathematics and Computation, had the following membership at the time of its approval of this standard:

K. A. Morrell (Chair), Savannah River Nuclear Solutions

M. Baird, Radiation Safety Information Computational Center

P. Ellison, GE-Hitachi

- B. R. Frank, Westinghouse Electric Company
- C. R. Martin, National Security Technologies, LLC
- Y. Orechwa, U.S. Nuclear Regulatory Commission
- R. Singleterry, National Aeronautics and Space Administration
- C. Sparrow, Mississippi State University

The membership of the Safety and Radiological Analyses Consensus Committee at the time of the review and approval of this standard was as follows:

- A. O. Smetana (Chair), Savannah River National Laboratory
- A. Weitzberg (Vice Chair), Individual
- F. A. Alpan, Westinghouse Electric Company
- R. S. Amato, Bechtel Marine Propulsion Corporation
- M. C. Brady Raap, Pacific Northwest National Laboratory
- R. R. Brey, Health Physics Society (Employed by Idaho State University)
- R. E. Carter, Individual
- D. M. Cokinos, Brookhaven National Laboratory
- M. L. Corradini, National Council on Radiation Protection and Management (Employed by University of Wisconsin-Madison) D.
- J. Dudziak, Los Alamos National Laboratory
- I. C. Gauld, Oak Ridge National Laboratory
- M. K. Gupta, URS-Professional Solutions
- N. E. Hertel, Georgia Institute of Technology
- K. A. Morrell, Savannah River Nuclear Solutions
- D. E. Palmrose, U.S. Nuclear Regulatory Commission
- C. T. Rombough, CTR Technical Services, Inc.
- C. E. Sanders, University of Nevada, Las Vegas

American National Standard ANSI/ANS-10.8-2015

## Contents

### Section

## Page

1	Scope and objective	1 1 2 3
2	Acronyms and definitions         2.1       List of acronyms         2.2       Shall, should, and may         2.3       Definitions	3 3 3
3	Introduction and overview	5
4	Requirements	6
5	Software quality assurance5.1SQA during software development5.2SQA subsequent to deployment	7
6	Software configuration management	8
7	Software acquisition7.1Software developed in accordance with ANSI/ANS-10.7-20137.2Otherwise acquired software	9
8	Software and model validation and acceptance testing1	0
9	Installation testing	1
10	User operation and training1	2
11	Maintenance1	3
12	Retirement1	3
13	Security 1	3
14	Support software 1	4
15	References 1	4
Ap	pendix         User Checklist for Software Quality Assurance         1         User Checklist for Software Configuration Management         1         User Checklist for Software Acquisition         1         User Checklist for Software and Model Validation and Acceptance Testing         1	7 7

User Checklist for Installation Testing	
User Checklist for Operation and Training	
User Checklist for Maintenance.	
User Checklist for Retirement	
User Checklist for Security	
User Checklist for Support Software	